Freedom of Information Act (FOIA): Accessing FDA/CDRH Records

Presented by:

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Objectives



Understand FOIA Basics Basics



Identify Available Records

Legal foundation for transparency

FDA/CDRH documents accessible through FOIA



Master Search & Request Process

Efficient search methods and submission procedures

https://www.accessdata.fda.gov/scripts/cdrh/devicesatfda/readingroom.cfm



FOIA – Freedom of Information Act



https://www.justice.gov/oip/freedom-information-act-5-usc-552

CDRH & FOIA

510(k) Summaries

Device clearance showing substantial equivalence

PMA Decisions

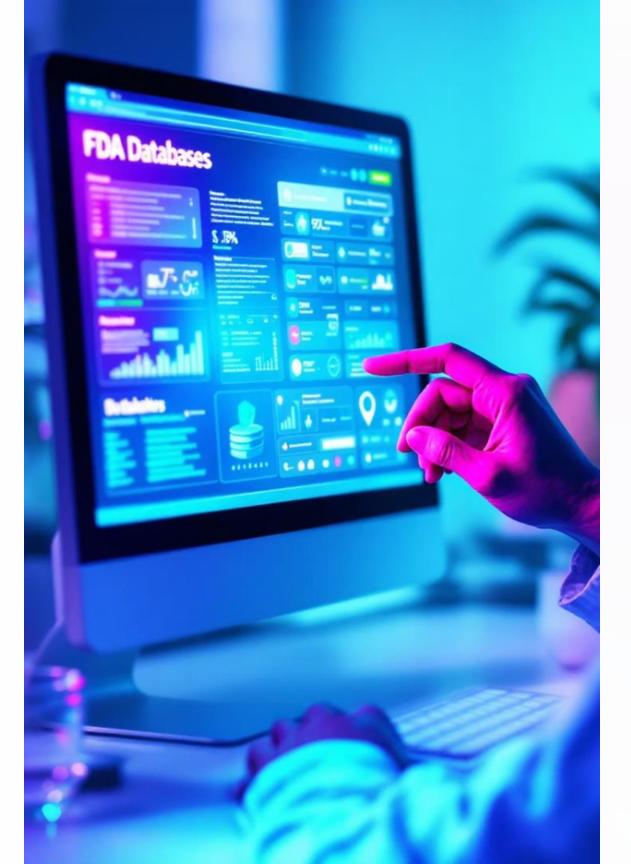
Premarket approval for Class III devices

Adverse Event Reports

Safety information on device incidents

Inspectional Records

Facility inspection and compliance documentation



Search Before Submitting FOIA

https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm

https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfmaude/search.cfm



510(k) Database

Device clearance information



MAUDE System

Adverse events database



PMA & De Novo Portals

Class III and novel device approvals

https://www.accessdata.fda.gov/scripts/cdrh/devicesatfda/readingroom.cfm

How to Submit a FOIA Request Request

Prepare Request

Include contact info and specific record details

Include Fee Statement

State payment willingness or request waiver

Submit Request

Use FDA's online FOIA portal for fastest processing



http://www.accessdata.fda.gov/scripts/foi/FOIRequest/index.cfm

Where to Send FOIA (Offline)

Mailing Address

FDA Division of FOI, ODIGA

5630 Fishers Lane, Room 1035

Rockville, MD 20857

Contact Information

Phone: 301-796-3900

Email: <u>CDRH-FOIStatus@fda.hhs.gov</u>

NEW! Requesters can now submit a FOIA request online:

http://www.accessdata.fda.gov/scripts/foi/FOIRequest/index.cfm

Response times vary by complexity



How to Send FOIA (Online)



First Party Requests

State if requesting personal records

Consumer Complaints

Don't use FOIA form for complaints

Fill all fields marked with asterisk

Attach request letter as PDF or DOC

https://www.accessdata.fda.gov/scripts/foi/FOIRequest/requestinfo.cfm

How to Send FOIA (Online)

First Party Requests

- •If requesting your own records: state it's a first-party request and provide documentation.
- •If requesting records about someone else: include a signed authorization from that person or organization

Consumer Complaints

- •Do NOT use the FOIA form to submit complaints.
- •Submit complaints separately at: FDA Consumer Complaints

FOIA Request Instructions

- •All required fields (*) must be filled
- Valid email is required
- •Use Subject field for a clear description
- You may attach a request letter (PDF, DOC)
- •Be specific and detailed in what you request
- You can submit multiple requests per login
- •After submitting: you'll receive a confirmation number
- •FDA will email an Acknowledgment Letter with your FOIA Control



FOIA Fees Overview

\$29-102

Commercial Search

Per hour rate

\$0.10

Duplication

Per page cost

100

Educational/Media

Free pages, no search fee

2

Others

Free search hours plus 100 free pages

https://userfees.fda.gov/OA_HTML/irecPortal.html

https://userfees.fda.gov/OA_HTML/irecLogin.jsp.

User Fees Helpdesk at <u>userfees@fda.gov</u>.

https://www.fda.gov/regulatory-information/freedom-information/foia-fees

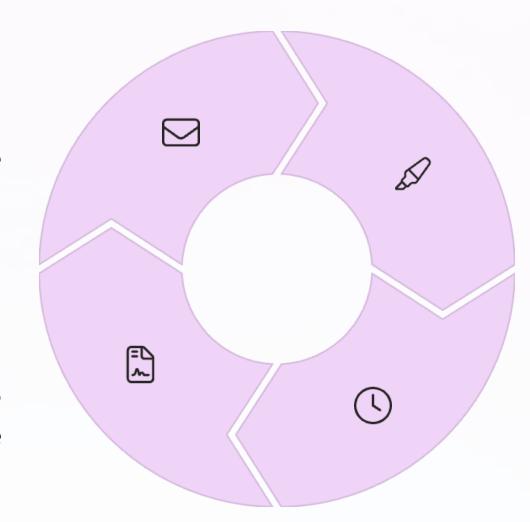
Pre-Disclosure Notification (PDN)

FDA Sends PDN Package

Notification of intent to disclose

Default Action

FDA applies standard redactions if no response



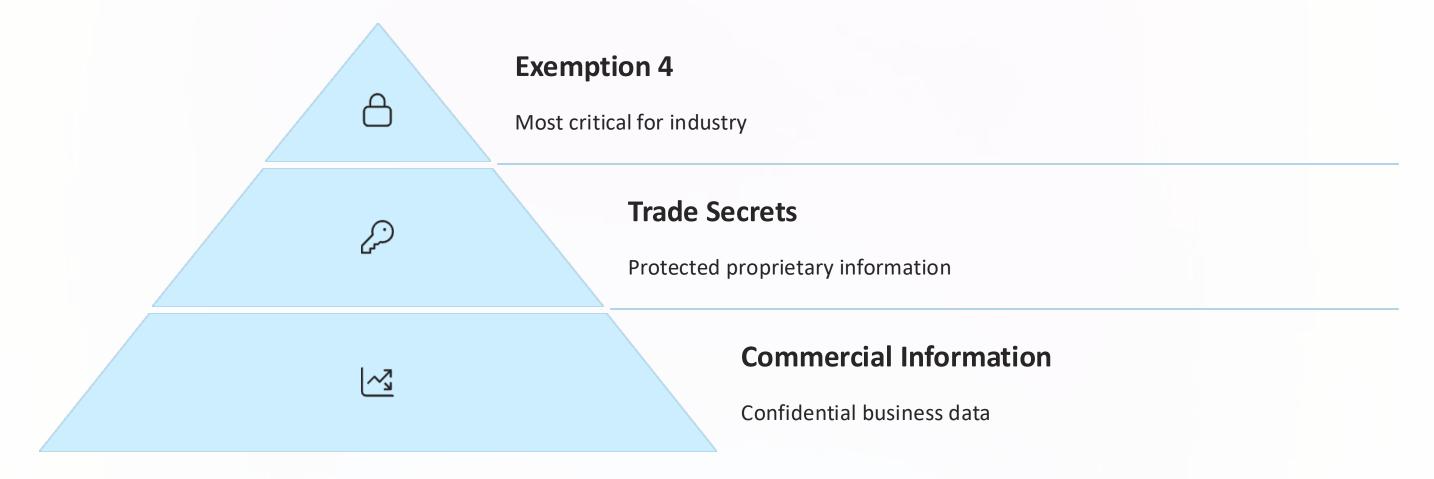
Company Reviews & Marks

Identify confidential information

Response Window

Limited time before FDA proceeds

FOIA Exemptions



FOIA includes nine total exemptions, but Exemption 4 is most relevant to the medical device industry. This protects trade secrets and secrets and confidential commercial information from public disclosure.



FOIA Processing Timeframes

2-4

18-24

9

Weeks

Months

Exemptions

Processing time for simple requests

Typical timeline for complex requests like 510(k)s and PMAs

Categories of protected information

Remember that FOIA doesn't create new documents. You can only request existing records. Contact CDRH FOIA office for status updates on pending updates on pending requests.

